

UiT

THE ARCTIC
UNIVERSITY
OF NORWAY

Our publication fund - what have we learnt?

Nordic Perspectives on Open Access and Open Science
- the 10th Anniversary Seminar of FinnOA
Helsinki, October 15th 2013

Jan Erik Frantsvåg
Open Access adviser and Publication fund manager (etc.)
The University Library
The University of Tromsø – The Arctic University of Norway



Conclusion:

- Pavlov was right!
 - Researchers do salivate when you present them with the possibility to get funding!
- But they have difficulties finding these possibilities ...

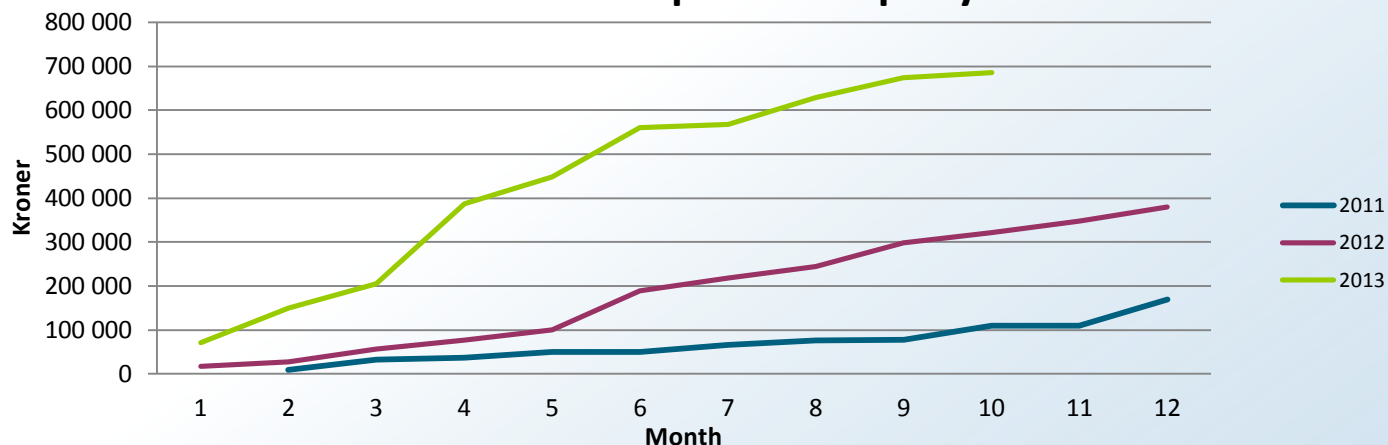
Background for the fund

- Established on the basis of a university board decision to implement an Open Access policy (October 2010)
 - The initiative came from the Research Department, aided by the University Library
 - These two departments were given the task of deciding on the rules and regulations of the fund
- Full support from the Rectorate and the University Director, unanimous board decision
- When the budget became a constraint this spring, our Rector decided to add more funding to the budget (doubling it)
- Continuous political and financial support for OA from the Rectorate and the University board

Our fund

- Established in 2011 with EUR 40 000
 - Used EUR 20 000 (24 articles)
- 2012 budget EUR 40 000
 - Used EUR 50 000 (42 articles), living off the 2011 surplus
- 2013 budget EUR 62 000
 - Used EUR 55 000 by the end of May, and received another EUR 62 000
 - Used nearly EUR 90 000 so far (66 articles)
- Asked for EUR 250 000 for 2014 (to be split with faculties)

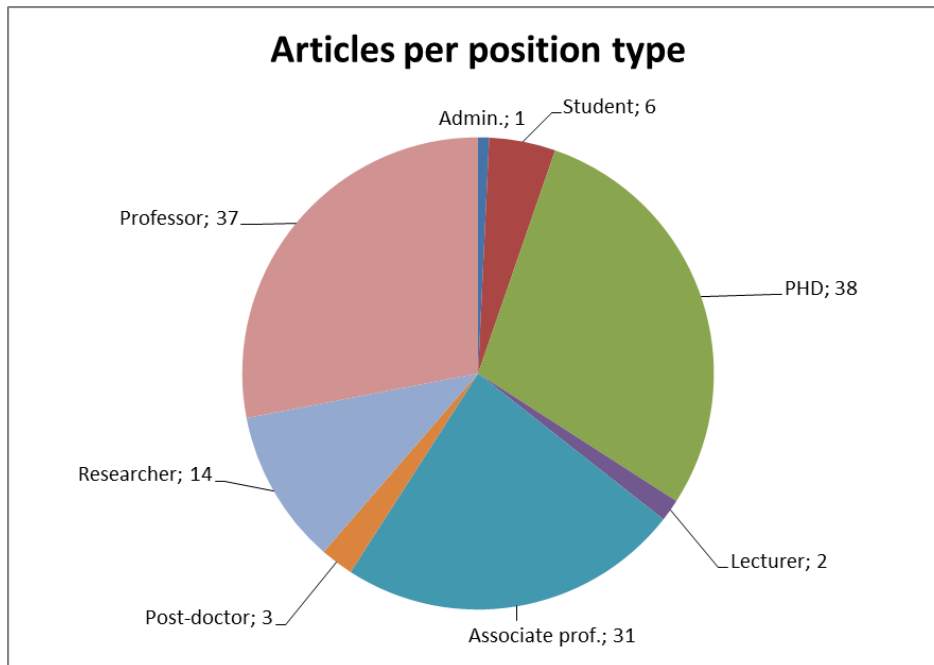
Accumulated expenditure per year



The rules

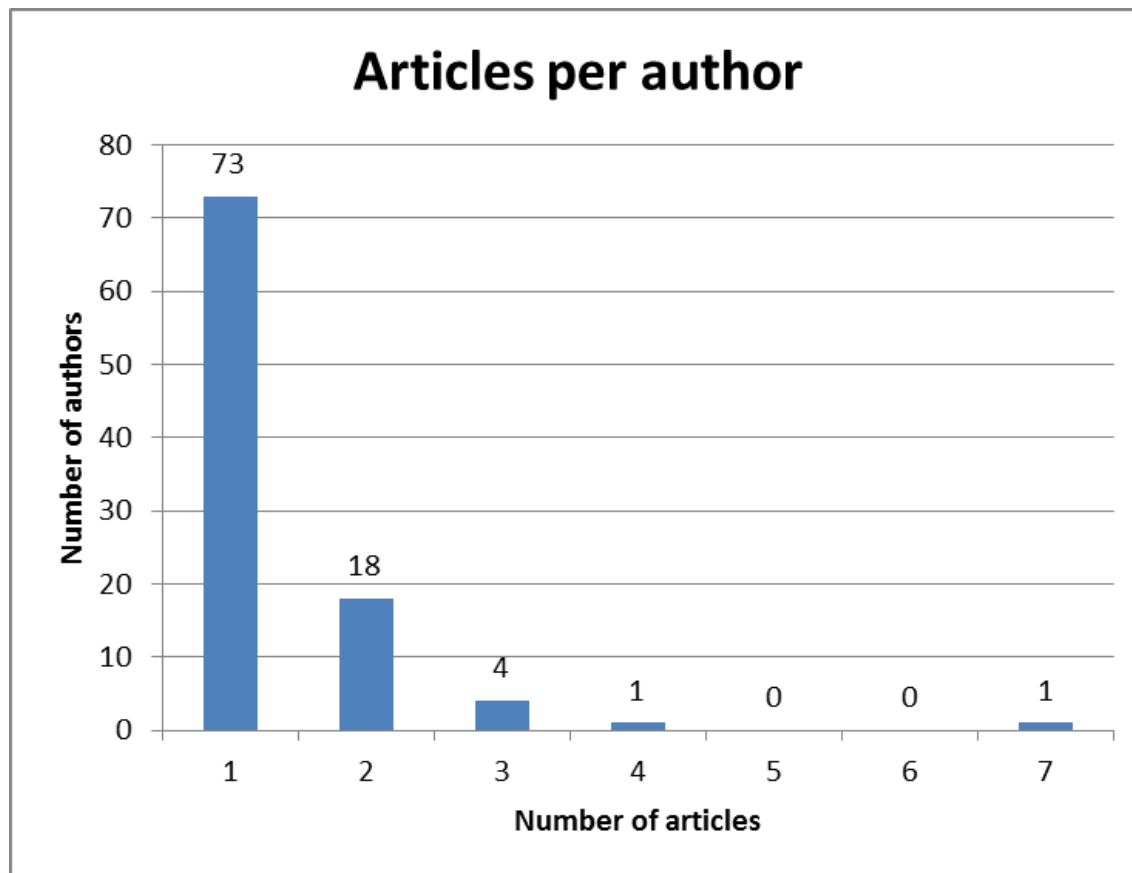
- The corresponding author must be affiliated to the university
 - Teacher/researcher, PDH student, student
 - Part-time or full time
- Journal in DOAJ (OA)
- Journal accredited in NSD (quality, the Norwegian system)
- No limits on amounts, number of articles
 - (But prepared to introduce such restrictions if the budget became a problem)
- No hybrids!
 - Difficult concept for researchers
 - It is the hybrids they like!
 - One of our institutes has created a fund for financing hybrid articles

Who gets the money?

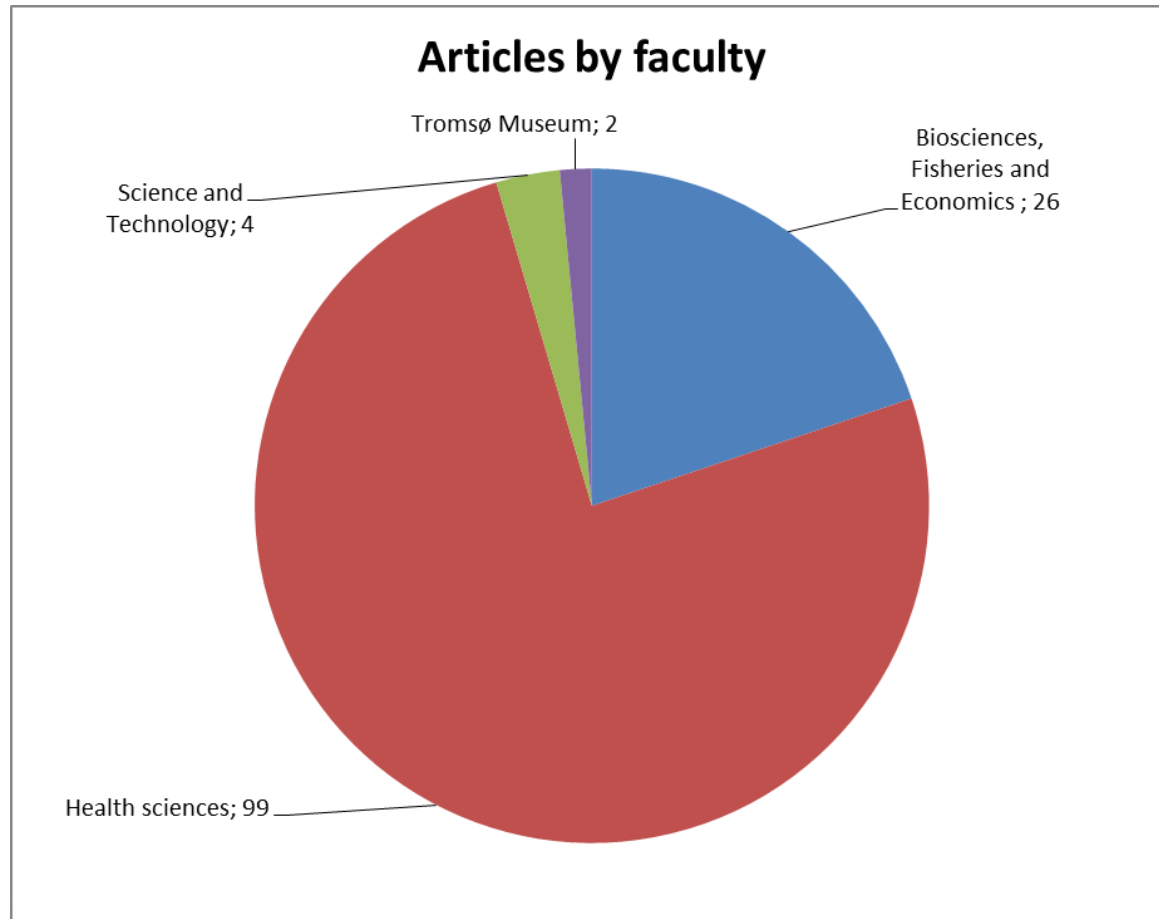


	Positions	Articles funded	Percentage
Professor	235	37	16 %
Associate professor	256	31	12 %
PHD	357	38	11 %
Total	848	106	13 %

And how often?



Which faculty gets money?

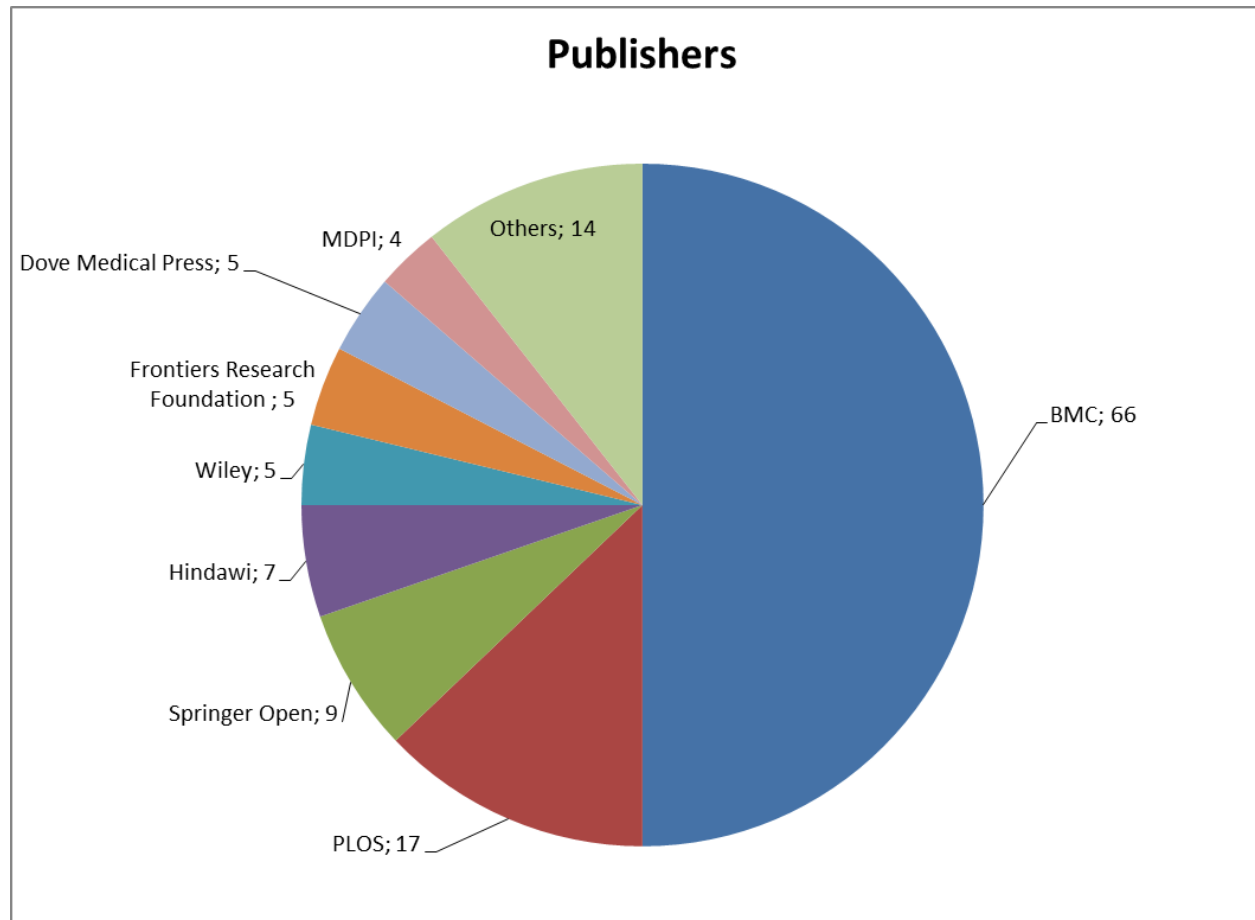


Which faculty gets relatively most?

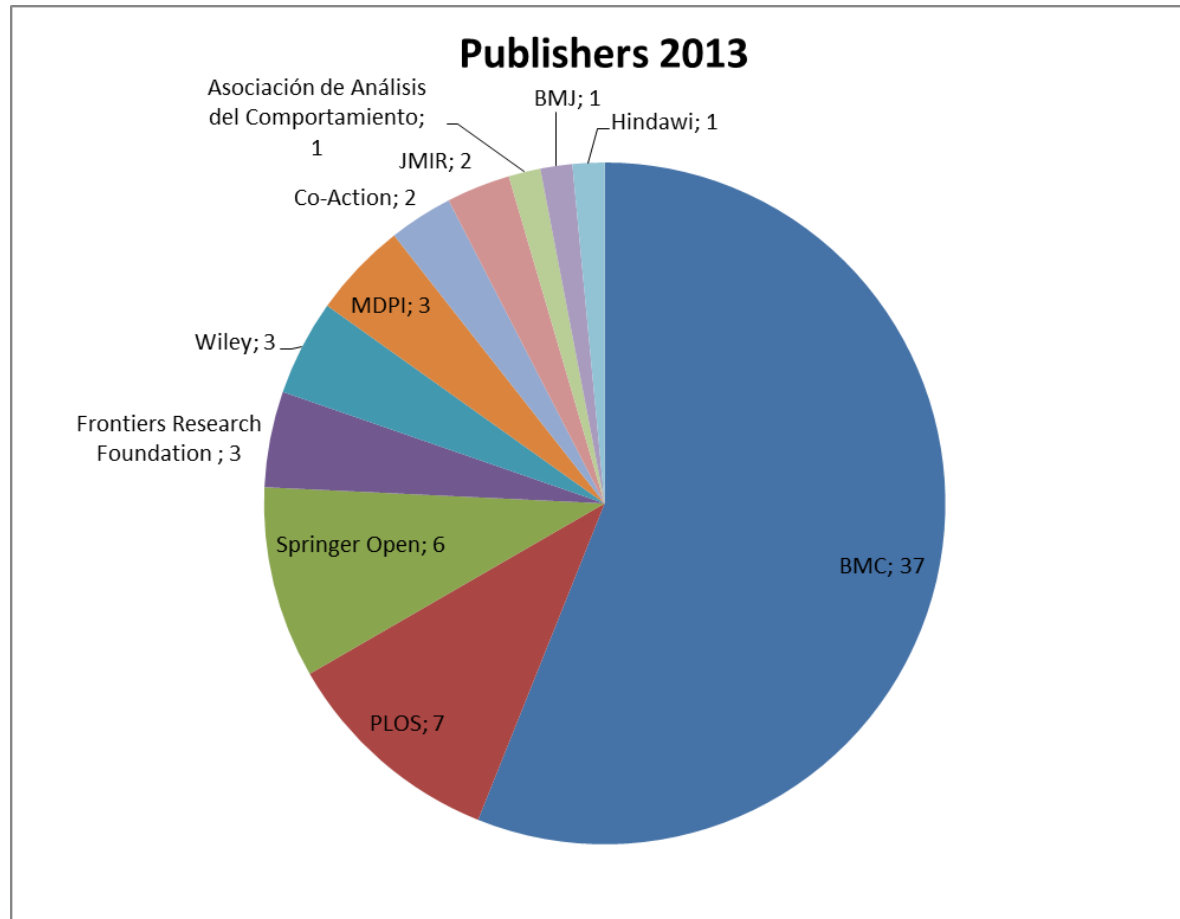
- By number of articles

	Articles 2012	Articles funded 2011-2013	Percentage
Health sciences	763	99	13 %
Science and technology	244	4	2 %
Humanities, social science and teacher education	299	0	0 %
Biosciences, Fisheries and Economics	245	26	11 %
Law	36	0	0 %
Tromsø Museum	54	2	4 %
Total	1641	131	8 %

Publishers 2011–2013

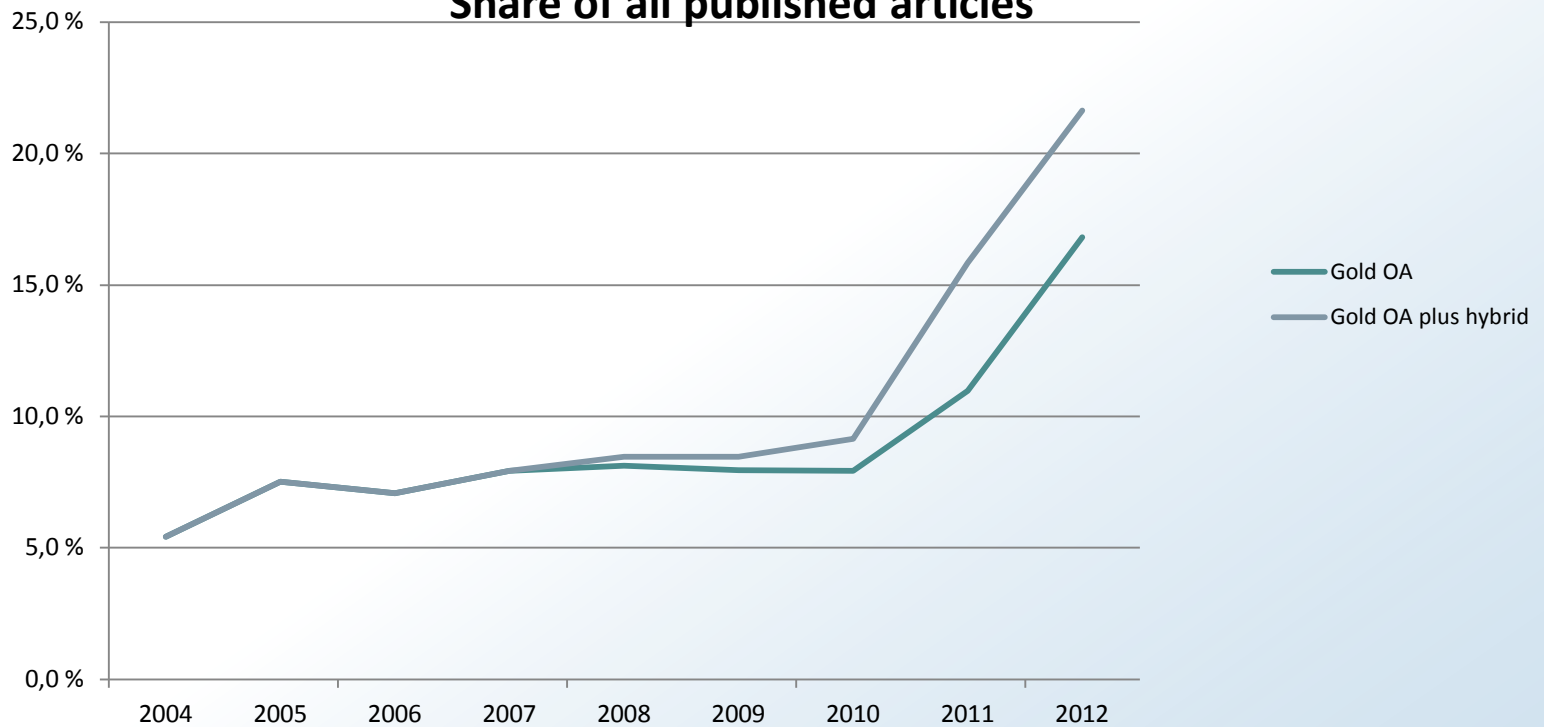


Publishers 2013



Development of OA in Tromsø

**Development of Open Access publication by
UiT The Arctic University of Norway 2004-2012
Share of all published articles**



Use of the fund

	2011	2012	2013 estimates
Articles published, supported by the fund	19	27	50
All articles published	1194	1285	1400
Fund share of articles published	1,6 %	2,1 %	3,6 %

Effects of the fund?

- The relative growth of the fund is much larger than the growth of OA
 - It takes time to inform the researchers about the fund
 - But they like OA! (and funding)
- The growth in OA (in numbers) is much larger than the growth of the fund
 - Much of the growth in OA is financed from other sources
 - The fund may have increased the willingness to publish OA
 - And the awareness of OA and possibilities of OA publishing
- Strong growth makes it necessary to find other mechanisms
 - The fund should help create change, but not be permanent
 - It costs resources to manage
 - Need more permanent mechanisms that do not insulate researchers from the cost of publishing, to create efficient market mechanisms

More information?

- The 8th Munin conference
 - <http://www.ub.uit.no/baser/ocs/index.php/Munin/MC8>
- 25th–26th November 2013
- The annual conference on scholarly publishing at the University Library of Tromsø – usually with an OA angle
- In English
- This year's keynotes:
 - Jean-François Dechamp from the EU commission: *Horizon 2020 (EU): an open access funder mandate*
 - Eelco Ferwerda from OAPEN: *Open access in humanities and social sciences*
 - David Prosser from Research Libraries UK: *What's 'Open' about open access? The Vital Role of Copyright and Licenses*